

UNITED STATES OF AMERICA
 FOOD AND DRUG ADMINISTRATION
 CENTER FOR DEVICES AND RADIOLOGICAL HEALTH
 MEDICAL DEVICES ADVISORY COMMITTEE

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CIRCULATORY SYSTEM DEVICES PANEL

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MEETING

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THURSDAY,
 JANUARY 13, 2005

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The Panel met at 9:00 a.m. in Salons A, B and C of the Hilton Washington, D.C., North/Gaithersburg, 620 Perry Parkway, Gaithersburg, Maryland, Dr. William H. Maisel, Acting Chairperson, presiding.

PRESENT:

WILLIAM H. MAISEL, M.D.	Acting Chairperson
CHARLES R. BRIDGES, M.D.	Consultant
THOMAS B. FERGUSON, M.D.	Consultant
KENNETH W. JOHNSTON, M.D.	Consultant
JOANNE LINDENFELD, M.D.	Consultant
NORMAN S. KATO, M.D.	Consultant
MITCHELL W. KRUCOFF, M.D.	Member
MICHAEL C. MORTON	Industry Rep.
LINDA A. MOTTLE, M.S.M.R.N., CCRP	Consumer Rep.
GARY G. NICHOLAS, M.D.	Consultant
SHARON-LISE T. NORMAND, Ph.D.	Member
JOHN C. SOMBERG, M.D.	Consultant
CLYDE YANCY, M.D.	Consultant
JUDAH Z. WEINBERGER, M.D., Ph.D.	Consultant
CHRISTOPHER J. WHITE, M.D.	Member
GERETTA WOOD	Exec. Secretary

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<u>AGENDA ITEM</u>	<u>PAGE</u>
<u>WELCOME/OPENING REMARKS:</u>	
William Maisel	5
<u>CONFLICT OF INTEREST:</u>	
Geretta Wood	5
<u>INTRODUCTIONS:</u>	8
<u>VOTING STATUS STATEMENT:</u>	10
<u>CRITICAL PATH INITIATIVE:</u>	
Binita Ashar	11
<u>GUIDANT COMPANION UPDATE and</u>	
<u>PHILIPS MEDICAL HEARTSTART HOME OVER-THE-COUNTER AED:</u>	
Megan Moynahan	23/26
<u>LIFELINE REGISTRY:</u>	
Rodney White	31
<u>SOCIETY FOR VASCULAR SURGERY:</u>	
Greg Sicard	38
<u>AUDIENCE MEMBER COMMENT:</u>	
Bill Tinker	40
<u>HARVARD MEDICAL SCHOOL:</u>	
Richard Cambria	43
<u>LOYOLA UNIVERSITY:</u>	
Michael Tucheck	47
<u>HARBORVIEW MEDICAL CENTER:</u>	
Riyad Karmy-Jones	51
<u>QUESTIONS:</u>	53
<u>SPONSOR PRESENTATION:</u>	
<u>GORE TAG THORACIC ENDOPROSTHESIS:</u>	
Mike Nilson	57
<u>ETIOLOGY AND CURRENT THERAPY:</u>	
Scott Mitchell	59
<u>TAG DEVICE, HISTORY AND DESIGN:</u>	
Mike Nilson	65
<u>CLINICAL TRIAL PROGRAM RESULTS/DATA:</u>	
Michel Makaroun	73
<u>CLOSING REMARKS:</u>	
Scott Mitchell	99
Mike Nilson	102
<u>Q&A SESSION:</u>	103
<u>FDA PRESENTATION:</u>	
Dorothy Abel	121
Andrew Farb	133
Gary Kamer	146

AGENDA CONTINUEDPAGE

<u>PANEL QUESTIONS:</u>	156
<u>PANEL REVIEWS AND SPONSOR QUESTIONS:</u>	
Henry Edmunds	182/303
Clyde Yancy	183/299
Judah Weinberger	194
Ken Johnston	198
Sharon-Lise Normand	212
Norm Kato	232
John Somberg	239
Charles Bridges	245
Gary Nicholas	254
Mitch Krucoff	260
Joanne Lindenfeld	281
Tom Ferguson	294
William Maisel	301
<u>QUESTIONS TO PANEL:</u>	
Question 1 - Assurance of Safety	307
Question 2 - Assurance of Effectiveness	313
Question 3 - Control Group Differences	320
Question 4 - Indication For Use	320
Question 5 - Additional Warnings	326
Question 6 - Labeling Comments	328
Question 7 - Physician Training Plan	330
Question 8 - Post-Approval Study/Follow-Up	336
Question 9 - Post-Approval Study Plan Adequacy	345
<u>PUBLIC HEARING SESSION:</u>	
Riyad Karmy-Jones	346
Gregorio Sicard	350
Rodney White	352
J. Michael Tuchek	353
<u>ADDITIONAL FDA COMMENTS:</u>	
Dave Buckles	356
<u>MOTION TO APPROVE WITH CONDITIONS:</u>	363
<u>CONDITION 1 - POST-APPROVAL STUDY</u>	363
<u>VOTE TO APPROVE CONDITION 1:</u>	368
<u>CONDITION 2 - APPROPRIATE TRAINING:</u>	371
<u>VOTE TO APPROVE CONDITION 2:</u>	372
<u>CONDITION 3 - INCLUSION/EXCLUSION CRITERIA:</u>	372
<u>VOTE TO APPROVE CONDITION 3:</u>	373
<u>VOTE TO APPROVE WITH CONDITIONS:</u>	375
<u>ADJOURN:</u>	
William Maisel	384

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P-R-O-C-E-E-D-I-N-G-S

9:01 a.m.

ACTING CHAIR MAISEL: Good morning. I would like to call to order this meeting of the Circulatory System Devices Panel. Today's topic is discussion of a pre-market application for the W.L. Gore and Associates GORE TAG Thoracic Endoprosthesis, P040043. I would like to ask Geretta Wood to read the Conflict of Interest statement.

EXEC. SEC. WOOD: The following announcement addresses Conflict of Interest issues associated with this meeting and is made a part of the record to prevent even the appearance of an impropriety. To determine if any conflict existed, the Agency reviewed the submitted agenda and all financial interest reported by the Committee participants. The Conflict of Interest statutes prohibit special Government employees from participating in matters that could affect their or their employer's financial interest.

However, the Agency has determined that participation of certain members and consultants, the

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1 need for whose services outweighs the potential
2 conflict of interest involved is in the best interest
3 of the Government. Therefore, waivers have been
4 granted for Drs. Charles Bridges, L. Henry Edmunds,
5 Thomas Ferguson, William Maisel, Clyde Yancy and a
6 waiver was previously granted for Dr. Judah Weinberger
7 for their interest in firms that could potentially be
8 affected by the Panel's recommendation.

9 The waivers for Drs. Bridges, Edmunds,
10 Ferguson and Maisel involve a grant to their
11 institution for the sponsor's study. The panelists
12 had no knowledge of the funding and had no involvement
13 in the generation or analysis. Dr. Ferguson's waiver
14 also involves his affiliation with a nonprofit
15 organization that is the recipient of an unrelated
16 educational grant from a competitor.

17 Funding to the organization is between
18 \$100,001 and \$300,000 per year. Dr. Yancy's waiver
19 involves unrelated consulting services with a
20 competitor for which his fees have not yet been
21 determined. Dr. Weinberger's waiver includes a
22 stockholding in a competitor in which the value is

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1 between \$50,001 and \$100,000. The waivers allow these
2 individuals to participate fully in today's
3 deliberations.

4 Copies of these waivers may be obtained
5 from the Agency's Freedom of Information Office, Room
6 112A-15 of the Parklawn Building. We would like to
7 note for the record that the Agency took into
8 consideration other matters involving Drs. Mitchell
9 Krucoff, Joanne Lindenfeld and Clyde Yancy. These
10 panelists reported past or current interest involving
11 firms at issue, but in matters that are not related to
12 today's agenda. The Agency has determined therefore
13 that these individuals may participate fully in the
14 Panel's deliberations.

15 The Agency also would like to note that in
16 the event that the discussion involves any other
17 products or firms not already on the agenda for which
18 an FDA participant has a financial interest, the
19 participant should excuse him or herself from such
20 involvement and the exclusion will be noted for the
21 record. With respect to all other participants, we
22 ask in the interest of fairness that all persons

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1 making statements or presentations disclose any
2 current or previous financial involvement with any
3 firm whose products they may wish to comment on.

4 ACTING CHAIR MAISEL: Thank you, Geretta.
5 My name is Dr. William Maisel. I'm a cardiologist at
6 Brigham and Women's Hospital and I would like to
7 invite the Panel Members to introduce themselves
8 starting on my left with Dr. Zuckerman.

9 DR. ZUCKERMAN: Bram Zuckerman, Director,
10 FDA Division of Cardiovascular Devices.

11 DR. FERGUSON: Tom Ferguson, Professor
12 Emeritus, Washington University, Saint Louis.

13 DR. LINDENFELD: Joanne Lindenfeld. I'm
14 a Cardiologist at the University of Colorado.

15 DR. KRUCOFF: Mitch Krucoff. I'm a
16 Cardiologist at Duke University Medical Center and the
17 Director of the Cardiovascular Devices Unit at the
18 Duke Clinical Research Institute.

19 DR. NICHOLAS: Gary Nicholas, a vascular
20 surgeon, Professor of Surgery, Penn State University,
21 Lehigh Valley Hospital.

22 DR. BRIDGES: Charles Bridges,

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1 Cardiothoracic Surgeon, University of Pennsylvania.

2 EXEC. SEC. WOOD: Geretta Wood, Executive
3 Secretary for the Advisory Panel.

4 DR. SOMBERG: I'm John Somberg. I'm a
5 Professor of Medicine and Pharmacology at Rush
6 University in Chicago, Illinois.

7 DR. KATO: Norman Kato, Cardiothoracic
8 Surgery, private practice, Encino, California.

9 DR. NORMAND: Sharon-Lise Norman,
10 Professor of Health Care Policy and Biostatistics at
11 Harvard Medical School and Harvard School of Public
12 Health.

13 DR. JOHNSTON: Wayne Johnston, Vascular
14 Surgeon, Professor of Surgery at University of
15 Toronto.

16 DR. WEINBERGER: Judah Weinberger,
17 Interventional Cardiologist at Columbia University.

18 MR. MORTON: Michael Morton. I'm the
19 industry representative. I'm employed by Medtronics.

20 MS. MOTTLE: Linda Mottle, Director and
21 Faculty of the Clinical Research Program at Gateway
22 Community College.

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1 ACTING CHAIR MAISEL: Thank you. Geretta,
2 if you would read the voting status statement, please?

3 EXEC. SEC. WOOD: Pursuant to the
4 authority granted under the Medical Devices Advisory
5 Committee Charter dated October 27, 1990 and as
6 amended August 18, 1999, I appoint the following
7 individuals as voting members of the Circulatory
8 System Devices Panel for this meeting on January 13,
9 2005: Charles R. Bridges, M.D., L. Henry Edmunds,
10 Jr., M.D., Thomas B. Ferguson, M.D., Kenneth W.
11 Johnston, M.D., Joanne Lindenfeld, M.D., Norman S.
12 Kato, M.D., Gary G. Nicholas, M.D., John C. Somberg,
13 M.D., Clyde Yancy, M.D., Judah Z. Weinberger, M.D.,
14 Ph.D.

15 For the record, these individuals are
16 special Government employees and are consultants to
17 this Panel under the Medical Devices Advisory
18 Committee. They have undergone the customary Conflict
19 of Interest review and have reviewed the material to
20 be considered at this meeting. The Agency would also
21 like to note that Dr. William Maisel has consented to
22 serve as Chair for the duration of this meeting. This

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1 is signed by Daniel G. Schultz, M.D., Director, Center
2 for Devices and Radiological Health and signed January
3 11, 2005.

4 ACTING CHAIR MAISEL: Thank you. Before
5 we begin this morning's discussion on this
6 application, the FDA has two brief presentations. I
7 would like to invite Dr. Binita Ashar, Acting Clinical
8 Director, of the CDRH to talk about the Critical Path
9 Initiative.

10 DR. ASHAR: Great. Thank you and good
11 morning. I appreciate this opportunity to discuss
12 with you the Agency's Critical Path Initiative from
13 the CDRH perspective. Basically, what I'm going to do
14 this morning is I'm going to identify some of the
15 challenges in medical product development. Then I
16 will define for you what the Critical Path Initiative
17 is and then describe what our future efforts are for
18 bringing this initiative further.

19 Basically, the present state of affairs is
20 that there is a scientific challenge that we have a
21 number of disease processes, Alzheimer's, AIDS,
22 cardiovascular diseases that need better treatments

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1 and we not only need better treatments, but we need
2 better preventative therapies. At the same time,
3 we're faced with a societal challenge and that is the
4 urgency for timely development of treatments for these
5 diseases. And not only do we need these treatments to
6 be timely, but we also need these treatments to be
7 affordable.

8 In the present state of affairs, there is
9 great optimism based on new biomedical discovery. We
10 have sequenced the human genome. We have new genomic
11 and proteomic technologies. There are advances in
12 medical imaging. We have nanotechnology advances that
13 potentially can offer the right treatment to the right
14 patient in the right location with far fewer side
15 effects than ever before. And at the same time, we
16 have been investing to produce these basic biomedical
17 advances.

18 There has been an increase in NIH funding
19 of double over the past five years. And
20 pharmaceutical research and development has also
21 increased at the same rate. Overall, our society has
22 provided major investments in basic biomedical

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1 technology research and this is a graphical
2 representation demonstrating the increase in research
3 spending, both from the pharmaceutical RND side as
4 well as in the NIH budget.

5 Now, you would expect that this
6 acceleration in development, this would have
7 translated into increased medical product development.
8 However, from the drugs and biologic side, in fact,
9 there has been a decline in the number of FDA new
10 products that have been submitted. Now, this
11 necessarily hasn't been the case for medical devices,
12 but the fact of the matter is we could be doing
13 better. And this is a graphical representation
14 demonstrating the 10-year trend in pre-market device
15 application showing the number of original PMAs that
16 have been submitted.

17 Now, at the same time, we are noticing
18 that, at least on the drug and biologic side, the cost
19 of bringing a new drug to market is estimated to be
20 about \$1.7 billion, and the reason for this is largely
21 because there is a high failure rate of new drug
22 candidates late in the clinical development process.

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1 Now, what is the cause of this problem? Well, some of
2 these new technologies aren't at their full potential.

3 And what has been occurring or what we
4 have noticed to occur is that industries have been
5 focusing on easier targets and because of various
6 business arrangements have focused on potentially the
7 cash cows and not necessarily treatments that might
8 affect smaller populations. They have found that the
9 development process has become uncertain. Some of the
10 additional challenges that I have mentioned already
11 are that there is a failure late in the clinical
12 development process, at least for drugs and biologics.

13 Now, I want to mention that the Critical
14 Path is different for devices. Device development is
15 different because of the device regulation process.
16 We have a least burdensome provision of FDAMA, which
17 is different than drugs and biologics. We are
18 committed to finding a least burdensome path to
19 market. We have quality systems and design controls
20 that are not prescriptive, but are focused on what the
21 end result is and has the product, indeed, met the
22 expectations that we have requested.

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1 The innovation process is different for
2 devices. The small molecule issue is
3 biocompatibility, not necessarily biometabolism. The
4 process is an iterative process whereby sometimes
5 during the clinical development phase, there might be
6 minor changes in the device. There is a user learning
7 curve that we face with the use of medical devices and
8 performance and durability are also engineering
9 issues. Different pharmaceuticals in the device
10 industry is represented by small manufacturers that
11 may not have the resources to put forth all of the
12 time and effort and expenditures that they might need
13 to to bring a product forward.

14 Other additional causative factors that
15 have been shown as a hurdle in the medical product
16 development is that some of the basic science
17 investment and progress has surpassed what we are able
18 to actually translate into new medical products.
19 Essentially, we are using the evaluation tools and
20 infrastructure of the last century to bring forth new
21 medical products of this century. We are doing
22 randomized controlled clinical trials like we have

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1 always done them.

2 We are not necessarily using our
3 cumulative knowledge of the society to overcome some
4 of the development hurdles, so that we can bring
5 medical products to market faster without compromising
6 our safety and effectiveness evaluations. And this
7 has resulted in a bottleneck at the Critical Path for
8 delivering new medical products to patients.

9 So the central Critical Path thesis is
10 that there has been a great societal investment in
11 research and development to improve medical product
12 development. However, there has not been an
13 investment in the tools necessary to translate this
14 basic biomedical research into new medical products.
15 So what do I mean by this? Well, tools that might be
16 computer simulation tools or registries or new
17 surrogate markers that have been validated or
18 biomarkers that might be able to identify patient
19 populations that might be most amenable to these
20 treatments that would potentially cut down the size of
21 these various clinical trials.

22 There has been a great investment in the

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1 basic research, but not investment and attention to
2 the tools to bring this research to translate into
3 medical products. And some of the problem is that
4 academia is not adequately funded to perform the
5 scientific investigations to develop new tools. This
6 has generally not been conceptualized up until this
7 point, at least, as being FDA's role. And any efforts
8 to develop valiative tools in the private sector are
9 proprietary and they are, therefore, not generalizable
10 and available for use for the population at large.

11 So the FDA's Critical Path Initiative is
12 an attempt to bring attention and focus to the need
13 for targeted scientific efforts to modernize the
14 techniques and methods used to evaluate the safety,
15 effectiveness and quality of medical products as they
16 move from product selection to design and mass
17 manufacture. And this diagram demonstrates how
18 Critical Path research differs from what is generally
19 considered translational research.

20 You notice that basic scientific research
21 is the type of research that is largely conducted by
22 academic organizations and by our sister agencies like

1 NIH. NIH is also quite interested in translational
2 research of bringing this new basic research into the
3 clinical arena. However, Critical Path research is
4 really FDA's arena where this translational research
5 is looked at from the perspective of mass manufacture
6 and mass marketing.

7 Can these clinical trial results formed in
8 a small population translate into the generalized
9 patient populations that we are approving a device
10 for? And in evaluating any sort of medical products,
11 you look at three dimensions of Critical Path. You
12 look at safety. Can this device adequately perform in
13 a safe manner? Can this device demonstrate efficacy
14 in the population? And can this device be mass
15 produced to the point that it is generalizable to not
16 only the premiere centers in the United States, but
17 also to all of the community hospitals? And can these
18 results that we see in these early clinical trials be
19 ones that can be replicated over and over again in
20 smaller areas?

21 And so if we had tools that might be able
22 to help us in our assessment in deciding whether a

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1 product is safe or effective or can be industrialized,
2 wouldn't it be great to be able to bring these
3 products to development faster without compromising
4 our safety and effectiveness evaluation? So
5 basically, the Critical Path science basis is to be
6 able to understand what types of tools we might be
7 able to invest in.

8 And FDA potentially could take an
9 organizational role in bringing groups together,
10 consumer groups, patient groups, academia and industry
11 groups to develop some of these scientific tools that
12 might be then available in the public arena for use by
13 all industry groups. And this is something that NIH
14 and academia have generally not focused on and
15 Critical Path is intended to be something that is
16 supplementing what we already have learned in our
17 translational research basis.

18 So the work ahead, basically, this is for
19 scientific improvement. It is not to be confused with
20 regulatory evolution or streamlining or making the
21 paper pushing faster or easier. It is, essentially,
22 using the science that we already know to develop

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1 tools that help in our evaluations. The regulatory
2 process is a related effort and can assist with this,
3 but it is not the focus of this initiative.

4 So what have we done so far with Critical
5 Path? Well, basically, there was a Federal Register
6 docket open describing a Critical Path that was open
7 through the summer and we received a number of
8 responses from industry groups, patient groups,
9 professional organizations, individual industries.
10 This initiative was also presented to the FDA Advisory
11 Board, the Science Board, to receive some of their
12 feedback. And we have had individual meetings with
13 various scientists, companies, patient groups and
14 many, many others just to get the word out, just to
15 get feedback out.

16 This has also been presented at the FDA
17 Science Forum and at many speeches and panel
18 presentations. And, basically, we have received
19 overwhelming support. In fact, they have asked FDA to
20 embark on doing things that is well outside of FDA's
21 resources. And we have heard this really from all of
22 our patient groups and all of our industry groups.

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1 Submitters actually, again, ask for us to
2 work on a number of things intent actually outside of
3 some of our range. And some of the things that they
4 suggested is, you know, streamlining clinical trials
5 if we had better biomarkers, if we had a process by
6 which we knew that we could validate a surrogate
7 endpoint and promote effective product development.
8 What they wanted repeatedly was FDA feedback on
9 particular endpoints and particular surrogate
10 endpoints. And how we can harmonize internationally
11 so that we could better do this so that a clinical
12 trial in one area of the world would be applicable to
13 the United States, how we could focus on cancer
14 trials, combination products.

15 Some industry groups actually have
16 commented on the use of proprietary data. You know,
17 very tentatively, they mentioned that perhaps FDA
18 might find a way to use some of this information with
19 the consent of all involved parties to further medical
20 product development. And so, basically, the bottom
21 line is this is an initiative that is intended to use
22 science to integrate into the regulatory process, so

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1 that we can make our safety and effectiveness
2 evaluations faster and more cost effectively.

3 This is not just an FDA effort. We can't
4 do this alone. We need to work with our stakeholders
5 to make this a reality. And we need to focus on
6 particular scientific areas that first and perhaps
7 expand at a later date. So the next step on a
8 Critical Path is from the docket we received a number
9 of comments. We are identifying all of the possible
10 proposals and prioritizing various opportunities for
11 developing evaluative tools. We will be putting forth
12 a national Critical Path opportunities list that
13 reflects all of the comments that we have received.

14 We need to find a mechanism by which we
15 can continue to obtain such feedback and update this
16 list so that not only FDA, but other interested
17 parties, might embark on Critical Path research.
18 Thank you very much for your attention.

19 ACTING CHAIR MAISEL: Thank you very much.
20 Next, I would like to invite Megan Moynahan, who is
21 the Branch Chief for Pacing, Defibrillator and Leads,
22 to update the Panel on some recent decisions.

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1 DR. MOYNAHAN: Good morning. Thank you
2 very much. I would like to take a few minutes this
3 morning to update you on the Panel meeting that
4 occurred in July this past year in which the panelists
5 discussed the Guidant Companion application, a
6 labeling review, and the Philips Medical HeartStart
7 Home Over-the-Counter AED.

8 Beginning with companion, the FDA raised
9 a number of concerns to the Panel and I'm not going to
10 represent them all here, but the primary one related
11 to whether the Panel felt that the data were
12 sufficient to support an expanded patient population
13 for the Guidant CRT-D Device to include patients who
14 did not have to have a requirement for an ICD. There
15 was a lot of discussion about the change in definition
16 of hospitalization and the FDA has some concern about
17 how to interpret both the primary and secondary
18 endpoints based on that.

19 We asked the Panel to comment on how the
20 indication should be worded and we asked for some
21 broad labeling recommendations on that application.
22 The Panel recommended that the data supports expanding

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1 the indicated patient population, but they had some
2 concerns about how that would be worded in the
3 indication statement. They specifically asked us to
4 avoid using the term "all-cause hospitalization" in
5 the indication statement. And they wanted a special
6 separate section of the labeling to call out the
7 benefit with respect to the primary endpoint.

8 The approved indications appears as
9 follows, and as you see, it only indicates the
10 intended patient population, so it is indicated for
11 patients with moderate to severe heart failure who
12 remain symptomatic despite stable optimal heart
13 failure drug therapy and have an LVEF of less than 35
14 percent and a QRS no greater than 120. And now, there
15 is a new clinical outcome section that appears in the
16 labeling just after the indication statement in which
17 we go into more detail as to the clinical benefit to
18 patients.

19 Here is where we identify the reduction in
20 risk of all-cause mortality or first hospitalization,
21 is how it is presented, and then we go onto define how
22 hospitalization was used in that trial, including

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1 noting that the hospitalizations did not include the
2 device implant attempt or any reattempts. We also
3 identified the reduction and risk of all-cause
4 mortality and we mentioned the reduction of heart
5 failure symptoms.

6 The Panel also made a number of other
7 recommendations with respect to the labeling and, in
8 particular, they were interested to see how we were
9 going to be presenting hospitalizations. While they
10 agreed that the representation of the primary endpoint
11 should not include the index or implant
12 hospitalization, they felt that it would be important
13 to present in clinically meaningful information to
14 physicians and patients that describe hospitalizations
15 in general.

16 And so this is an example of the approved
17 labeling that we've worked with the company to
18 develop. This is the original Kaplan-Meier curve for
19 the primary endpoint of all-cause mortality or first
20 heart failure hospitalization and this is the same as
21 what you would see in the published literature. But
22 the labeling also represents a number of

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1 hospitalizations per patient year and that was done to
2 account for the difference in follow-up for the two
3 different groups.

4 It presents this information comparing the
5 OPT or the control group to the CRT-D group and it
6 also gives you an idea of the relative contribution of
7 the implant hospitalization in both cases. There is
8 also a graph in the labeling that depicts the number
9 of hospitalization days per patient year, again
10 distinguishing between the OPT group and the CRT-D
11 group and also indicating a relative contribution of
12 the implant hospitalizations that occurred in both
13 groups.

14 And finally, there is a representation of
15 the number of heart failure hospitalization days per
16 patient year comparing the OPT group to the CRT-D
17 group. Based on their Panel recommendations, the FDA
18 approved the companion submission on September 14,
19 2004.

20 Now, moving on to the Philips Medical
21 Over-the-Counter AED, the FDA raised a number of
22 concerns to the Panel that day including asking

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1 whether the data was sufficient to support over-the-
2 counter availability of the device and, in particular,
3 we wanted them to comment on the adequacy of the user
4 testing and whether the sponsor had appropriately
5 integrated CPR prompts and notifications to dial 911
6 or to notify the Emergency Medical Services.

7 We asked the Panel to comment on whether
8 the data were sufficient to support over-the-counter
9 availability of the pediatric pads. We asked broadly
10 for labeling recommendations and to comment on the
11 sponsor's methods for tracking devices in the event of
12 a recall or adverse event reporting and whether they
13 believe that a post-market study would be required.
14 Because this was a 510(k) application, there was no
15 vote. However, the Panel was felt to be generally in
16 favor of over-the-counter availability of the device.
17 They felt that the usability testing was adequate and
18 that the voice prompts for CPR and the visual prompts
19 for calling 911 were felt to be adequate.

20 There was no consensus, however, on
21 whether the pediatric pads should be available over-
22 the-counter. There were quite a number of specific

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1 labeling recommendations that were given to us and the
2 Panel recommended a post-market study, but asked FDA
3 to review the tracking and adverse event reporting
4 methods. FDA ultimately concurred with the Panel that
5 there was sufficient usability testing and we did not
6 require additional testing on the part of the sponsor.
7 We felt that the prompts for CPR were appropriate and
8 with minor modifications to the 911 reminders we felt
9 that they were appropriate as well.

10 Importantly, the pediatric pads were not
11 included in our over-the-counter decision and they
12 still remain available as a prescription accessory.
13 And that was done for a number of reasons. We felt
14 that ultimately this would simplify a very complex
15 purchasing decision by not offering too many options
16 or accessory products to the user. We felt that it
17 sent an important message that underscores that sudden
18 cardiac arrest is an adult public health concern, one
19 that's not shared equally by the pediatric population.

20 We feel that this decision ensures safe
21 and effective use on both adults and children. And we
22 felt that this was not going to impact availability

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1 too detrimentally of families who have higher risk
2 children, because those families should be well-
3 integrated into the medical system and would easily be
4 able to get a prescription for the products.

5 We made substantial labeling modifications
6 based on Panel recommendations. I'm not going to go
7 through all of them, but I'll give you one example.
8 The Panel felt that the outer box should be designed
9 to help customers make an informed purchase decision
10 and these are some of the things that appear now on
11 the outside of the box and also appear on websites
12 that are offering this product for over-the-counter
13 sale.

14 For example, it mentions that you should
15 speak to your doctor and that a defibrillator does not
16 take the place of seeking medical help, that you can't
17 use the device on yourself, that users may need to
18 perform CPR, that responding to cardiac arrest may
19 require you to kneel, that voice instructions and
20 materials are in English and that the HeartStart
21 provides audible and visible indicators for
22 maintenance.

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1 FDA's clearance decision included
2 acceptance of the sponsor's methods for post-market
3 tracking and adverse event reporting and we're
4 continuing to work with the sponsor on developing the
5 Post-Market Study Plan. Ultimately, FDA cleared this
6 product for over-the-counter use on September 16,
7 2004. Thank you very much.

8 ACTING CHAIR MAISEL: Thank you, Megan,
9 for those updates. At this point, we will begin the
10 open public session of this morning's meeting, both
11 the Food and Drug Administration and the public
12 believe in a transparent process for information
13 gathering and decision making to ensure such
14 transparency at the open public hearing session of the
15 Advisory Committee meeting, FDA believes that it is
16 important to understand the context of an individual's
17 presentation.

18 For this reason, FDA encourages you, the
19 open public hearing speaker, at the beginning of your
20 written or oral statement to advise the Committee of
21 any financial relationship that you may have with the
22 sponsor, its product, and if known, its direct

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1 competitors. For example, this financial information
2 may include the sponsor's payment of your travel,
3 lodging or other expenses in connection with your
4 attendance at this meeting.

5 Likewise, FDA encourages you at the
6 beginning of your statement to advise the Committee if
7 you do not have any such financial relationships. If
8 you choose not to address this issue of financial
9 relationships at the beginning of your statement, it
10 will not preclude you from speaking. At this point,
11 I would like to invite Dr. Rodney White to approach
12 and address the Panel.

13 DR. WHITE: Thank you very much. It's a
14 pleasure to be here today. I'm representing the
15 Society for Vascular Surgery and a project that you
16 have heard about before, I think, that we would like
17 to update you on. The Lifeline Registry, which now is
18 the SVS/American Vascular Association Outcomes
19 Registry has been an effort that we have updated
20 Panels on serially related endoluminal grafts.

21 At the beginning of this, I would like to
22 tell you that my Conflicts of Interest are that I have

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1 no commercial interest in Gore. I'm not a Gore
2 investigator. I am here representing the Society for
3 Vascular Surgery as the Secretary and Chairman of the
4 SVS/AVA Lifeline Registry Committee. I'm an academic
5 surgeon. I make my living treating these kinds of
6 patients and get promoted based on publishing papers,
7 so I think my major conflict is I make a living doing
8 this sort of stuff.

9 The Lifeline Registry was established in
10 1997 to look prospectively at post-approval of
11 abdominal aortic aneurysms. The SVS has now recently,
12 in association with the American Vascular Association,
13 an expansion of our nonprofit foundation efforts,
14 extended the SVS capability to look at outcomes
15 analysis, not only to the endoluminal grafts, but to
16 the technologies we're talking about today, thoracic
17 grafts or in a concurrent effort to carotid stents and
18 endarterectomy.

19 A unique aspect we offer is that we can
20 look at both of these technologies concurrently and in
21 that regard, we would like to emphasize from the
22 beginning that the SVS is going to make a specific

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1 effort to make available operative data related to
2 these technologies, so as we move into these other
3 areas, it will be relevant.

4 Registry is unique in that the initial
5 attempt was to do something that hadn't been done
6 successfully previously and that was to look
7 prospectively to establish a registry that would take
8 scientific data, put it together over time and
9 actually look at a number of stakeholders involved in
10 this, including the societies and clinicians, the
11 foundation that I mentioned, federal agencies, and we
12 have been very fortunate to have both FDA and CMS
13 active in these efforts with their input.

14 An industrial advisory committee made of,
15 in this case, the following companies which have
16 supported that effort, I will emphasize that of the
17 group today W.L. Gore was a founding member of the
18 registry, has been very proactive in supporting this
19 effort and that this has been an important part in how
20 we progress. The registry goals were to evaluate
21 long-term and prospectively endoluminal graft
22 function.

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1 Because of the requirement for post-market
2 surveillance, I think this is a topic that will come
3 up later, we were able to establish with a central
4 registry committee working beneath the foundation and
5 again with the ex officio input of the FDA, NIH, CMS
6 and this industrial advisory committee and our data
7 center, New England Research Institute, a way to be
8 able to collect this data, put it together and report
9 it.

10 The funding mechanisms are by the
11 foundation itself and industrial partners feeding this
12 data and collecting it. The registry then initially
13 was to look at the post-market IDE data, collect that
14 and look at it over the five-year surveillance
15 interval that was available. Now, if you think about
16 that, that makes it a very high compliance audited
17 data set. There are then two parts to this registry
18 I'll tell you about briefly, because we've got now
19 six-year results to look at, was to take this five-
20 year PMA model, look at these patients over time and
21 because of the post-approval market, we're able to
22 look at the requirement of the Agency to have the

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1 manufacturers submit this data and work out a
2 collaborative effort to collect this.

3 The long-term results of the FDA devices
4 have then been evaluated and in a collective fashion
5 you will see. Now, these are just some numbers to
6 give you an idea of how these can be powered over
7 time, but with four approved devices and some
8 commercial site entries, you will see we're now nearly
9 at 3,000 patients. A very important part of this is
10 we also have a concurrent surgical control group of
11 patients that can be compared for outcomes analysis.
12 And in the kinds of considerations we're doing today,
13 these are particularly important.

14 Primary and secondary endpoints that I
15 won't list in detail were looked at and were able to,
16 in almost all cases now, start to look at very
17 important outcome issues and patient selection
18 parameters and have reached statistical significance
19 in many of these related to the outcome comparing
20 endoluminal grafts to the conventional stent graft
21 technologies. The same for hospital parameters, ICU
22 stays, things that are routinely looked at and again

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1 we can compare these two groups.

2 What we have come up with then, now, these
3 are six-year curves, are comparisons of morbidity,
4 mortality, aneurysm-related mortality, freedom from
5 rupture, gender analysis, this has been highlighted in
6 other examples, freedom from surgical conversion. And
7 again, just to highlight this very briefly, there is
8 a data set that was presented at the SVS this year in
9 a publication submitted to the Journal of Vascular
10 Surgery that will summarize these six-year data
11 outcomes and forward. But in general, it gives us a
12 very good standard to be able to apply this to and
13 have a surgical cohort group.

14 Now, the other important relevance to
15 today is not only if we looked at the PMA data sets,
16 but we've tried to extend this to clinical sites
17 outside of the use and studies. There are currently
18 15 centers entering that data and we've also, in
19 collaboration with the Canadian Vascular, have 22
20 Canadian sites that are submitting data to the
21 registry. So this becomes very powerful. At some
22 extent, we tried to simplify that and make it

1 automated where the sites would, in an automated
2 fashion, enter their data, the reports go then, and
3 this sort of prototype fashion, to look at critical
4 measurements related to an endoluminal graft.

5 I know these are hard to see, but it would
6 be diameter, volume, distance from an anatomic
7 landmark, anything that deviates over time, and these
8 are sequential intervals highlighted in red. There's
9 a possibility to put in images, so you can look at
10 sequentially patient records. In a thoracic prototype
11 relevant to today, we could do a similar sort of entry
12 looking at fixed points, measurements, volumes and
13 diameter, put in sequential records, collect these
14 that can be given to the patients, put in their charts
15 and used for data or in the carotid scenario that I
16 mentioned as an outcomes, do a similar sort of thing
17 where we can look at critical parameters in operative
18 carotid stent patients, collect the data, have imaging
19 analysis, in this case, the patient has had an event
20 after that and be able to correlate all this data.

21 So that the summary would be then that the
22 SVS has had now a six-year track record in

1 collaboration with the Agency and industry to do this.
2 We would like to present it as a future prototype and
3 that the SVS is committed to making outcomes analysis
4 related to temporary and new devices a priority for
5 our society. Thanks for the opportunity to present
6 this material.

7 ACTING CHAIR MAISEL: Thank you for your
8 comments. The next invited speaker or public speaker
9 is Dr. Greg Sicard.

10 DR. SICARD: Good morning. I'll be very
11 brief, since Dr. White already presented a lot of the
12 data that is supported by the Society for Vascular
13 Surgery. But my name is Gregorio Sicard. I'm a
14 practicing vascular surgeon from Washington University
15 School of Medicine in Saint Louis and currently the
16 President of the Society for Vascular Surgery. I do
17 not have any financial interest in W.L. Gore or the
18 specific product and my trip was paid by the Society
19 for Vascular Surgery.

20 I come here today primarily representing
21 the Society for Vascular Surgery and secondarily as a
22 practicing vascular surgeon. The Society for Vascular

1 Surgery has over 2,300 members, many of which care for
2 patients that have thoracic aortic aneurysms and fully
3 understand the impact that a less invasive approach
4 for the treatment of this condition will have.

5 As a vascular surgeon who for many years
6 has practiced both open and endoluminal treatment of
7 the intrarenal abdominal aortic aneurysm and open
8 repair of aortic abdominal aneurysms, I rise to
9 comment on the benefits of making this technology
10 available for patients with this disease. The
11 introduction of endoluminal prosthesis for the
12 treatment of intrarenal abdominal aortic aneurysm has
13 had a significant impact in patient care because of
14 the decrease perioperative mortality and morbidity
15 associated with this less invasive technique.

16 This has been recently documented in AAA
17 randomized trials from both the United Kingdom and
18 Holland. The approval of a thoracic endograft device
19 will add an important option for cardiothoracic and
20 vascular surgeons who treat patients with these
21 conditions. Even in experienced hands, the surgical
22 treatment of thoracic aortic aneurysms carry a 5 to 10

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1 percent perioperative mortality and a significant
2 morbidity estimated between 50 and 75 percent that is,
3 obviously, associated with prolonged hospitalization
4 and increased health care cost.

5 This less invasive technology offers a new
6 approach that is associated with lower operative and
7 post-operative mortality and morbidity. Therefore, I
8 strongly urge this Panel to approve the thoracic
9 endograft device. This lower risk treatment modality
10 will provide significant benefits to patients as well
11 as expand the options that treating physicians can
12 offer to this patient population. Thank you for your
13 attention.

14 ACTING CHAIR MAISEL: Thank you. Is there
15 anyone else in the audience who wishes to address
16 today's Panel? Please, approach. Please, identify
17 yourself and mention any financial conflicts.

18 MR. TINKER: My name is Bill Tinker. I'm
19 a patient of Dr. Bavaria. I've had no contact until
20 today, I've met some of the people from Gore and I
21 want to let you know that I'm here because I wanted to
22 be here. I survived. I had an attack where I went to

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1 surgery to repair an aneurysm, an abdominal aneurysm.
2 Back in June of last year, I had a large aneurysm in
3 my chest, told to be inoperable and I was given two
4 weeks to live. So I opted for the stent and it worked
5 and now I'm here.

6 With four or five years in between, I
7 suffered with the aneurysm. You have to understand
8 it's not easy. I couldn't pick up anything larger
9 than 20 pounds. I drove in the right hand lane off
10 the road, because I knew once it blew I would have 10
11 seconds to get off the road before I killed somebody.
12 Now, I'm driving in the passing lane. That in itself
13 is really nice. It's good to have this happen and I
14 want you to know that it's traumatic what I went
15 through in the open-heart.

16 Yes, and the open surgery was -- it was a
17 month in the hospital. My kidney shut down three
18 times. My valves shut down. My lungs shut down.
19 They contacted my family on two or three occasions
20 that I was dying and I just kept coming through and
21 coming through and a month later they woke me up and
22 it was a year later before I could walk 100 feet to my

1 mailbox to pick up my newspaper and my mail. It was
2 that long in recuperating.

3 Two days after I had this sort of massive
4 aneurysm, after I received the stent, two days later
5 I was ready to go home. And I was absolutely pain-
6 free. There was no pain. And to this day I'm able to
7 loft around 50 pound bags of cracked corn and salt and
8 no problem at all. It's just amazing and it's still
9 ticking and it actually looks like a barbed-wire
10 fence, but it works. And I just wanted to let you
11 know.

12 I had one other thing I wanted to make a
13 point or two. I hadn't mentioned those people. Back
14 in '99, I had a 2 inch patch put in my abdomen and I
15 went through all that trauma and close to death
16 several times, that bill came to \$500,000 for a week
17 stay in intensive care. Back this June when I had
18 this done, well, the amount was \$90,000, but it
19 wouldn't have been that high if they had had that
20 ready for me when I went in. I had to go through a
21 lot and stay in the hospital, that ran it up.

22 But I'm estimating it would have been

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1 \$50,000 tops. So we're talking 10-fold savings we're
2 going to have with this. Patients are going to be
3 able to have this without their insurance company
4 going broke. And I want everybody to have it as well
5 as I did and have a good as a life as I do. Thank you
6 very much for listening to me.

7 ACTING CHAIR MAISEL: Thank you very much
8 for your comments. Is there anyone else in the
9 audience this morning who would like to approach?
10 Yes?

11 DR. CAMBRIA: Good morning. My name is
12 Richard Cambria. I am a Professor of Surgery at the
13 Harvard Medical School and Chief of the Division of
14 Vascular and Endovascular Surgery at the Massachusetts
15 General Hospital in Boston.

16 I traveled here today at my own expense.
17 I have no financial interest in the W.L. Gore Company
18 or its products, although our group and our
19 institution has received support in the form of the
20 support for conducting clinical trials from the W.L.
21 Gore Company and virtually every other device
22 development pivotal trial in abdominal aortic

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1 aneurysms and thoracic aortic aneurysms. We currently
2 participate in all three extant thoracic aortic
3 aneurysm stent graft trials.

4 Our group at the Mass General has embraced
5 stent graft repair of abdominal aortic aneurysm and
6 thoracic aortic aneurysms. We currently treat,
7 approximately, 350 aneurysms of all types annually at
8 our hospital. We implanted the first stent graft for
9 an abdominal aneurysm in New England in 1994 and the
10 first thoracic stent graft in 1997.

11 To date, we have implanted some 900
12 abdominal aortic aneurysm stent grafts and over 100
13 thoracic aneurysm stent grafts. We have participated
14 in virtually every pivotal trial to evaluate stent
15 grafts including, as mentioned, all of those for the
16 thoracic aorta.

17 My own practice has centered on thoracic
18 and complex thoracoabdominal aortic aneurysms and, in
19 that context, I have personally performed over 500
20 open aneurysm repairs of the thoracic and
21 thoracoabdominal aorta. Thus, we speak from a
22 position of, I think, some experience and I guess we

1 would like to think expertise in this field.

2 Our practice has evolved to the point
3 where some 65 percent of abdominal aneurysms are
4 treated with stent grafts, and I personally treat
5 every thoracic aneurysm where such treatment can be
6 performed with a stent graft, as opposed to an open
7 operation. We are, of course, currently limited in
8 the application of stent grafts in the thoracic aorta
9 to those patients who qualify for the available FDA-
10 sponsored clinical trials since, of course, there is
11 no commercially approved device.

12 I would just remind the Panel that today
13 we focus on degenerative aneurysm of the thoracic
14 aorta, but this is just the beginning. There is a
15 whole host of thoracic aortic pathology, including
16 traumatic lesions, traumatic tears and aortic
17 dissections, and I am certain that we will see stent
18 graft repair play a very important part in the
19 treatment of all of these pathologies over the next
20 few years.

21 In virtually every comparison of
22 endovascular therapy, as opposed to conventional open

1 surgery, issues of safety, efficacy and durability
2 are, of course, prescient. None of these endpoints
3 can be separated from what I refer to as the morbidity
4 quotient of the procedure, namely, what is the risk of
5 the treatment?

6 In certain vascular lesions that these
7 Panels have heard, for example, the issue of carotid
8 artery disease, there is, in fact, a very narrow
9 margin, if any, between the morbidity quotient of open
10 surgery as opposed to endovascular therapy. The
11 opposite end of the spectrum is true for the treatment
12 of thoracic aortic aneurysms.

13 Open surgical repair of thoracic aortic
14 pathology, although refined at the moment to a high
15 level of sophistication, is still accompanied, even in
16 the hands of experts, by major morbidity in the form
17 of death or paraplegia in some 10 percent of patients.
18 Thus, the morbidity quotient of the pathology that we
19 are talking about here today is extreme in the
20 difference between endoluminal therapies and open
21 surgical repair.

22 ACTING CHAIR MAISEL: Dr. Cambria, if you

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1 could conclude your remarks in the next minute,
2 please.

3 DR. CAMBRIA: Yes, I will.

4 ACTING CHAIR MAISEL: Thank you.

5 DR. CAMBRIA: Yes, I will. Patients need
6 this pathology, this technology. We who treat these
7 patients absolutely require it. This will be the
8 single significant advance in the treatment of
9 thoracic aortic pathology in our lifetime. Thank you
10 for your attention.

11 ACTING CHAIR MAISEL: Thank you very much.
12 Are there other individuals who wish to approach?
13 Yes?

14 DR. TUCHEK: Good morning. First of all,
15 I want to let you know that I have no financial
16 interest with Gore. I'm here on my own time and at my
17 own expense. My name is Dr. Michael Tuchek. I am a
18 cardiovascular and thoracic surgeon at Loyola
19 University in Chicago, Illinois.

20 Loyola has got one of the largest open
21 heart programs and largest aortic surgery programs in
22 the city. I guess I'm one of the few people in the

1 audience like Dr. Cambria, one of the old fashioned
2 surgeons who still do a lot of open procedures.

3 I am also fortunate enough to be one of
4 the busiest endovascular surgeons in the country. I
5 did more Medtronic AneuRx devices than any surgeon in
6 the country last year. I am one of the primary
7 investigators in the Valor Trial for thoracic stent
8 grafts and I am a leading enroller in that trial
9 currently. So I am being blessed with being able to
10 do both the open procedures and have a lot of
11 experience with stent grafting also.

12 Obviously, because I am in the Medtronic
13 Trial, I am not involved with Gore. I am not in their
14 trial. I have never placed their device. I have seen
15 it placed once and that is my total experience with
16 Gore. I am sure in the audience there's a few Gore
17 people who are a little concerned about a Medtronic
18 guy being here talking about their device but, rest
19 assured, I'm not here to torpedo their efforts. I am
20 here to applaud them.

21 We have all looked at the Gore data and
22 you are going to be looking at it in detail shortly.

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1 I'm not going to go over it, but I reviewed it. I
2 think they did a great job in this trial. I think
3 that when they had issues, they dealt with them. They
4 never jeopardized their patients' care while the trial
5 was ongoing and I applaud them for that. I hope that
6 the Medtronic trial is doing just as well as this
7 trial was.

8 When I started doing abdominal stent
9 grafts in 1999, I thought the trial that was going on,
10 and when it got approved, I thought the technology was
11 slick, the word I used. When you look at open
12 operations, they are fairly morbid. When I started
13 doing thoracic stent grafts, I thought that technology
14 was nothing short of astounding, truly astounding. My
15 son calls it radical, but I use the word astounding.
16 It is truly impressive technology.

17 When I do an open operation, for those of
18 you who are not cardiac surgeons, we make incisions
19 from the back of the neck all the way down to the
20 naval. We break ribs or resect ribs. They go on a
21 heart-lung machine frequently. We resect the
22 aneurysm. There is bleeding. There is a lot of

1 morbidity associated with the operation.

2 And in a week or two, if the patient does
3 well, a lot of them go home in wheelchairs, paralyzed
4 permanently, and that is when the operation goes
5 perfectly. That is an issue. There is a lot of
6 morbidity associated with the open operation and it's
7 a fine operation. I love that operation, but there
8 are still significant issues with it.

9 When I take a patient for a thoracic stent
10 graft, I make a one inch incision and in a day or two,
11 that patient walks home. I have had no paraplegic
12 patients. I have had no strokes. Knock on wood, I
13 hope I don't have any in the future. It's truly an
14 astounding technology.

15 This is critically needed technology and
16 is far and away, I believe, better than the open
17 operation and it hurts me to say that, because I'm a
18 surgeon who loves to do open surgery. We need an
19 endovascular alternative to treat these sick patients,
20 and I implore you to recommend that this device get
21 approved.

22 If and when it does get approved, and I

1 hope that's soon, I think it needs to be restricted to
2 the busiest centers, those centers that do a lot of
3 open surgery and that have a lot of experience in
4 endovascular stent grafting. I don't want to see any
5 learning curve disasters, I think, that may be unlike
6 or maybe like the carotid post-market surveillance
7 work that is going on.

8 We need to have something like that here
9 for 18, 24 months, have the most experienced people
10 doing this procedure, giving good long-term follow-up
11 results. And I think, ultimately, we'll find that
12 this technology far and away exceeds what we can do in
13 doing an open operation. As a busy open and
14 endovascular surgeon, I want this technology, but my
15 patients desperately need this technology. Thank you
16 for your attention.

17 ACTING CHAIR MAISEL: Thank you. Are
18 there any other individuals that would like to address
19 the Panel?

20 DR. KARMY-JONES: Good morning. Thank
21 you. My name is Riyad Karmy-Jones. I am a
22 cardiothoracic trauma and now an interventional

1 radiologist and cardiothoracic trauma surgeon at
2 Harborview Medical Center at the University of
3 Washington, Seattle, and unfortunately I have no
4 financial attachment with Gore.

5 I just wanted to speak from two relatively
6 known perspectives. Harborview is the only Level I
7 trauma center for the WAMI region. We are effectively
8 the county hospital for Washington, Alaska, Montana,
9 Idaho and so on. The bulk of what we see in thoracic
10 vascular and particular others are emergencies usually
11 coming in at night.

12 So the two things I would like to talk
13 about are much of which has been alluded to, is these
14 devices can be placed very quickly, even quicker than
15 an open operation, which can be critical in a patient
16 with a complex leaking thoracoabdominal or thoracic
17 aortic aneurysm. It does reduce the stress in these
18 patients many of whom are actively being resuscitated
19 as they present over minutes or hours to our
20 institution, and we believe that there is a marked
21 benefit for an endovascular approach.

22 And then I would just like to flip to the

1 other side just very briefly, is that to consider that
2 most of these devices are compared to open repair, but
3 there are significant complications associated with
4 medical therapy for these lesions, end organ failure,
5 rupture, renal failure. We see patients who are
6 presenting with bowel ischemia and renal failure and
7 stroke because of prolonged aggressive medical
8 management and are not candidates for the open repair.

9 So I think that these devices ought to
10 also be considered as offering potentially a
11 significant advantage over medical management in many
12 cases for some of these patients. Thank you very
13 much.

14 ACTING CHAIR MAISEL: Thank you. Is there
15 anyone else who would like to address the Panel? At
16 this point, we will close the open public hearing.

17 DR. ZUCKERMAN: Dr. Maisel, can I ask one
18 question to the Panel?

19 ACTING CHAIR MAISEL: Of course.

20 DR. ZUCKERMAN: We began this open session
21 with a very nice presentation from Dr. Ashar of FDA
22 talking about a general construct for the Critical

1 Path Initiative and some ideas that the Agency has for
2 streamlining the translational process. We then got
3 more specific with the work that Dr. White and the SVS
4 have done recently in the AAA area.

5 While it's not the intent of the Agency to
6 specifically endorse a particular registry or
7 approach, my question though to the Panel members is
8 when data like these are accumulated, and this Panel
9 has looked at multiple AAA sponsor submissions, could
10 these data be utilized instead as a control data set?
11 Is it worthwhile to really actively examine other
12 options in the AAA area? Any general responses would
13 be appreciated.

14 ACTING CHAIR MAISEL: Dr. Somberg?

15 DR. SOMBERG: It's always useful to have
16 comparative data and I think it can be very helpful,
17 but in the early stages it's also very important to
18 have control trials and a randomized base, and I think
19 while we move into this, it's the case in drugs, it's
20 the case in devices, as we move into a field it's
21 always more arduous for the first carriers of the
22 spear than the people who come behind, the mopping up

1 after operations and all, no pun intended.

2 So I think while it can be useful, there
3 is the other side of the coin, which is that there is
4 a responsibility for those who are initially
5 introducing a device, a technique or another
6 therapeutic entity to try to have that in the context
7 at least of one control trial, preferably a randomized
8 one.

9 ACTING CHAIR MAISEL: Mitch?

10 DR. KRUCOFF: Yes. Bram, I think the
11 spirit of the question has its own answer. Of course,
12 they can be useful. We just have to be smart about
13 how useful and when and where. And the two things
14 that I think will be important drivers of that, one is
15 just the opportunity to take proprietarily owned data
16 sets and compile them is itself an organization issue
17 we have struggled with on other fronts like stent
18 data.

19 The other is just to stay, as I know you
20 would very clearly, aware of how long a time period
21 these different data sets are aggregated over, so that
22 data from the '80s or the '90s, how much of a time

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1 change there is in collecting some of these less
2 numerous cases.

3 ACTING CHAIR MAISEL: Dr. Johnston?

4 DR. JOHNSTON: I would echo some of those
5 concerns, but as a member of the Society for Vascular
6 Surgery and having looked at the data set, it is now
7 maturing nicely and it is much more sophisticated in
8 terms of data collection and openness than it was a
9 couple of years ago, for example, and so I think that
10 you will find this data set extremely useful in the
11 future.

12 ACTING CHAIR MAISEL: Dr. Nicholas?

13 DR. NICHOLAS: I think there is a real use
14 for this information, and I would recommend that we
15 consider not only the time interval of this control
16 surgical group, but also use it only up until the
17 point where we see that there are some major new
18 changes in open surgical techniques. This operation
19 for open intrarenal aortic aneurysm is pretty well
20 standardized and until something new comes along, I
21 think it would serve to be a control group.

22 What I would recommend is that the initial

1 effort to do this would be to combine a look using the
2 Lifeline data as well as a contemporary control group
3 for the first study or two to see if the hypothesis
4 holds.

5 ACTING CHAIR MAISEL: Dr. Normand?

6 DR. NORMAND: I would just agree with
7 Mitch very strongly, with his answer, but I would echo
8 that not only is it the quality of the data that's
9 collected. I think it would be very clever about the
10 analytical methods that you're using. There is a lot
11 of selection issues that I think a straightforward
12 simplistic analysis is not going to be worthwhile.

13 So I think it's a great idea to use more
14 data, but I think many people are going to have to be
15 more open-minded about the analytic strategies you
16 will use because of the huge selection issues in a
17 registry database.

18 ACTING CHAIR MAISEL: Very well. So at
19 this point, we will close the open public hearing and
20 I would like to invite the sponsor to begin their
21 presentation.

22 MR. NILSON: Thank you for being here

1 today. My name is Mike Nilson. I am the product
2 specialist for the GORE TAG Thoracic Endoprosthesis.
3 We will refer to this as the TAG device throughout the
4 remainder of the day. Also presenting with me today
5 are Dr. Scott Mitchell and Dr. Michel Makaroun. Both
6 Dr. Mitchell and Makaroun were principal investigators
7 in our clinical trial program.

8 Dr. Mitchell will present both etiology
9 and current therapy. I will present device history
10 and design. Dr. Makaroun will present the clinical
11 data, and then Dr. Mitchell will present the
12 risk/benefit profile for the TAG device.

13 We are here today to request a
14 recommendation for approval of the TAG device for the
15 indication of endovascular repair for aneurysms of the
16 descending thoracic aorta. Currently, there is no
17 FDA-approved thoracic endovascular device to meet this
18 therapeutic void. In the next presentation, Dr.
19 Mitchell, who is a professor of cardiothoracic surgery
20 at Stanford University, will discuss the etiology and
21 current therapy of aneurysms in the descending
22 thoracic aorta.

1 DR. MITCHELL: Thank you, Mr. Nilson, and
2 good morning. First, I would like to clarify that as
3 one of the co-principal investigators, that I have
4 served as a consultant to the Gore Company for the
5 last several years. However, other than that, I have
6 no financial relationships with Gore nor any
7 proprietary patent royalties.

8 This morning we will be discussing
9 aneurysms, specifically aneurysms of the thoracic
10 aorta. An aneurysm may be defined as a local or a
11 focal dilation and weakening of the aortic wall, which
12 is secondary to many processes. Today we will discuss
13 primarily degenerative aneurysms, those that occur as
14 a result of the ravages of hypertension and
15 arteriosclerosis.

16 An aneurysm or rupture of the aorta, both
17 the thoracic and the abdominal aorta, is estimated to
18 cause 32,000 deaths annually in the U.S. To put this
19 in perspective, breast cancer accounts for 41,000
20 deaths annually.

21 After Juan Perotti first described an
22 endovascular approach for abdominal aneurysms in the

1 1980s, Gore became involved with the development of
2 endovascular repair for aneurysmal disease in 1994 and
3 in 1997 initiated the clinical evaluation of abdominal
4 and thoracic endoprostheses.

5 By 2002, the Gore EXCLUDER device was
6 approved for the repair of abdominal aortic aneurysms
7 and by 2004, there had been over 20,000 EXCLUDER
8 implants worldwide. In 2005, we now have the
9 opportunity to have the TAG device considered for FDA
10 approval.

11 The area that we will address today is the
12 descending thoracic aorta, an area uniquely suitable
13 for endograft technology because of its relatively
14 straight course with few side branches. It is bounded
15 by the transverse arch superiorly and the diaphragm
16 interiorly. Neighboring vessels of the distal arch
17 include the left subclavian artery and just below the
18 diaphragm, the celiac axis.

19 Thoracic aneurysms may be either focal or
20 diffuse, but they share one critical natural history
21 phenomena and that is that of continued dilation until
22 they eventually rupture, and the goal of all our

1 therapies, open or endovascular, is to prevent
2 aneurysm rupture and death. Although most aneurysms
3 are asymptomatic, some present with symptoms with
4 pain, compression of the esophagus or traction on an
5 adjacent nerve.

6 There are, approximately, 15,000 new cases
7 of thoracic aortic aneurysms diagnosed yearly, which
8 results in over 5,000 surgical repairs. Nevertheless,
9 there are still an estimated 2,500 deaths annually
10 from rupture in the U.S. We have good outcomes data
11 from probably the most studied county in the U.S.,
12 that of Olmstead County in central Minnesota.

13 Over the 1960s and '70s, there was a
14 fairly uniform incidence of thoracic aortic aneurysms
15 of about three per 100,000, but in the two recent
16 decades, we have seen an increase to now about 10 per
17 100,000. Whether this represents a true increase in
18 incidence or it reflects our aging population or
19 perhaps even our increased diagnostic capabilities is
20 unknown.

21 However, we do know several things and
22 that is that the risk of rupture is increased as the

1 aneurysm grows in size. It's increased in older
2 patients and in patients who have concomitant
3 emphysema or COPD. Additionally, patients who present
4 with pain over the rapid increase in size are at
5 increased risk for rupture.

6 And so that we can see on the bottom line
7 of this graph, if your aneurysm is less than 4
8 centimeters, your risk of rupture at five years is
9 fairly nominal, 3 to 4 percent. But if your aneurysm
10 exceeds 6 centimeters in size, there is about a 10
11 percent incidence per year rupture with over 30
12 percent having ruptured at the end of a three-year
13 interval.

14 These slides depict a fairly typical mid
15 descending thoracic aortic aneurysm with a magnetic
16 resonance angiogram on the left, the surgical exposure
17 of the same aneurysm through, as you can imagine, a
18 fairly broad incision to get this type of anatomic
19 exposure. You need room to operate on these patients
20 safely and by these approaches, you inflict
21 significant morbidity as has been referenced in some
22 previous remarks. With adequate exposure and good

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1 technique, you can affect a very effective surgical
2 repair as seen on the right.

3 One problem with surgical repairs is that
4 they are morbid and the older the patient, the worse
5 the morbidity. Increasing age and the frequently
6 concomitant pulmonary disease puts these patients at
7 highest risk, which presents surgeons with the dilemma
8 that it is the older, sicker patient who most needs
9 the operation, but it's that same patient who is most
10 at risk for catastrophic complications.

11 The open surgical repair is effective and
12 durable, but it does exact a significant toll.
13 Mortality in this series was 6 percent. 14 percent of
14 patients experienced paraplegia, and there was a 70
15 percent incidence of cumulative morbidity, that is the
16 number of patients who incurred any major complication
17 in the postoperative period and recovery is frequently
18 protracted.

19 Patients, as we have heard, are fearful of
20 this operation. They frequently have lived
21 independently and now to suddenly be debilitated is a
22 major problem for them and many refuse operation. We

1 saw a fairly significant onslaught of these patients
2 in the early 1990s at Stanford University and were
3 impressed with the age and comorbidities.

4 We teamed up with our interventional
5 colleagues and formed our own thoracic stent graft
6 program, which was approved by our own IRB for high
7 risk patients. We constructed a hybrid device from
8 FDA-approved Z stents covered with an improved Dacron
9 graft and began a high risk trial, which resulted in
10 103 patients being treated.

11 By our own estimates, 60 percent of these
12 patients were absolute nonoperative candidates with
13 either unstable coronary disease, very severe
14 obstructive pulmonary disease or two or greater
15 previous attempts at repair. Indeed, we expected a
16 surgical mortality with open procedures exceeding 30
17 percent, and our 9 percent endovascular mortality we
18 thought was quite respectable and prompted us to
19 continue more investigations.

20 The fundamentals of endovascular repair
21 are fairly straightforward. It's a minimally invasive
22 procedure usually through an incision in the groin or

1 a small flank incision. We now can reliably deliver
2 and deploy endovascular devices whose hemostatic seal
3 excludes the aneurysm from the circulation and, thus,
4 prevents aneurysm rupture.

5 This is an angiogram of our very first TAG
6 device patient, a very pleasant 72 year-old woman who
7 had presented with a rather dramatic increase in size.
8 And as you can see from the angiogram on the left,
9 this is a pretty sizeable aneurysm, which is
10 completely excluded by the fourth postoperative day
11 when she left the hospital.

12 In summary, 6 centimeter aneurysms of a
13 thoracic aorta have a rupture rate of, approximately,
14 10 percent per year. Open surgical repair is
15 effective and durable, but the cumulative morbidity of
16 70 percent or greater and our own 6 percent mortality
17 remains substantial and there are other limitations.
18 The early results of thoracic endovascular repair
19 showed potential patient benefit. I would like to
20 return the podium to Mr. Nilson.

21 MR. NILSON: The TAG device has been
22 thoroughly studied. We began implanting the device in

1 the U.S. in 1998 beginning with a feasibility study,
2 TAG 97-01, followed in 1999 by the pivotal study, TAG
3 99-01. Due to fractures in the deployment wire, Gore
4 chose not to pursue FDA approval until we could modify
5 the design to minimize the likelihood of these wire
6 fractures. Consequently, in November of 2001, Gore
7 voluntarily withdrew the device from commercial
8 distribution and the device was modified. I will
9 describe those modifications in a minute.

10 After the modifications were completed,
11 Gore conducted a confirmatory study, TAG 03-03,
12 designed to confirm the preclinical test results of
13 the modified device. A treatment IDE, TAG 04-02,
14 allows study centers access to the device pending
15 approval and is currently ongoing. We have five-year
16 follow-up data on patients in the feasibility study
17 and two-year follow-up on those in the pivotal study.
18 The confirmatory study finished follow-up in August of
19 2004, and we're continuing to follow all patients
20 through five years.

21 Between 1998 and 2001, 2,800 original
22 devices were implanted in over 2,100 patients, mostly

1 in Europe. During the period of device modification
2 from November of 2001 until November of 2003, the use
3 of the original TAG device was limited to nonsurgical
4 patients in three centers in the U.S. Since November
5 of 2003, over 1,500 modified devices have been
6 implanted in over 1,100 patients, again, mostly in
7 Europe where the device has been commercially
8 available since March of 2004.

9 Notice from the numbers that most patients
10 received more than one device. Today we will focus on
11 the U.S. clinical trial data. The picture you see is
12 the original TAG device, which was designed with the
13 deployment wire, also referred to as a spine, and is
14 highlighted by the red box. The purpose of this wire
15 was to provide longitudinal support during deployment.
16 It counteracted forces due to blood flow during
17 deployment until the device engaged in the aortic
18 necks. Once the device was seated into the necks, the
19 wire had no further design function.

20 As mentioned previously, this wire had a
21 higher than anticipated fracture rate. The deployment
22 wire fracture rate was 32 percent in our longest term

1 test cohort patients. Only five out of the 44
2 patients who have been identified with fractures have
3 clinical sequelae associated with these fractures.
4 These reported sequelae are endoleaks, predominantly
5 Type III. We used information gained from the
6 clinical use of the original design to design tests to
7 replicate the failure mode and ultimately leading to
8 the modification of the TAG device.

9 At this time, I would like to hand out
10 samples of both the modified and original TAG device
11 and I will collect these samples after my portion of
12 the presentation. For everybody in the audience, I'm
13 going to allow the Panel two minutes to look at these
14 devices before I start my presentation up again.

15 MR. MORTON: Mike, can we take them out of
16 the bags?

17 MR. NILSON: Yes, you can remove the
18 devices from the bag. They are in the bags, because
19 there is a paired sample of an original and modified
20 device in each bag.

21 ACTING CHAIR MAISEL: I think you can
22 continue with your presentation while the Panel is

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1 looking at the devices.

2 MR. NILSON: Okay. Gore minimized design
3 modifications in order to maintain device attributes
4 and clinical performance while eliminating the
5 deployment wire. The modifications did not change the
6 device's fundamental design. To compensate for the
7 loss of this deployment wire, the graft material was
8 strengthened.

9 The original TAG device graft material was
10 constructed from two fluoropolymer layers. The
11 modified TAG device is constructed from three
12 fluoropolymer layers. The additional layer, which is
13 similar to that incorporated into the marketed
14 EXCLUDER Bifurcated Endoprosthesis, is sandwiched
15 between two original layers and this layer provides
16 the longitudinal stiffness that was formerly provided
17 by the deployment wire.

18 The TAG device is a symmetrical tube
19 consisting of a nitinol self-expanding stent and a
20 fluoropolymer liner. The stent is attached to the
21 liner without sutures by trapping the wire between the
22 liner and the attachment film. Flares are located on

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1 both ends of the device to aid in conforming to
2 tortuous anatomy. Sealing cuffs on both ends of the
3 device help exclude the aneurysm from circulation by
4 eliminating endoleaks. At the base of the flares are
5 two radiopaque gold bands, which aid in placement and
6 follow-up.

7 There is a deployment sleeve, which
8 constrains the device on the end of the delivery
9 catheter and remains permanently attached to the
10 device after deployment. The TAG device has a
11 flexible 100 centimeter working length catheter to
12 access the descending thoracic aorta from the groin.
13 Radiopaque olives at both ends of the device protect
14 the device during insertion and manipulation, as well
15 as facilitate position.

16 A fluoropolymer deployment sleeve
17 constrains the device on the leading end of the
18 delivery catheter. There is a guidewire port that
19 accommodates .035 inch guidewires and a flushing port
20 that removes trapped air from the guidewire lumen.

21 A deployment knob is located at the
22 control end of the catheter and has a deployment line

1 that runs the entire length of the catheter connecting
2 the deployment knob to the deployment sleeve. Pulling
3 this knob releases the device from the catheter at its
4 desired target. The delivery catheter and deployment
5 method remains exactly the same as the original
6 device.

7 The following animation shows how the TAG
8 device is delivered to its desired location within the
9 body. You will see an aneurysm in the descending
10 thoracic aorta distal to the left subclavian. That is
11 an .035 inch guidewire accessing across the aneurysm.
12 The device is being advanced and positioned to its
13 desired target and the deployment is initiated in the
14 middle and extends to both ends, again in slow motion,
15 and this facilitates a very accurate deployment.

16 The following video shows a real time
17 deployment of a TAG device in a patient with an
18 aneurysm in the descending thoracic aorta. Because
19 the deployment is rapid, this video will repeat the
20 deployment sequence several times in succession. On
21 the top course of the screen, you will see the
22 deployment of a TAG device. If you're having trouble

1 seeing it, notice the curved shape the device has
2 constrained on the end of the delivery catheter.

3 Once the device is released off the
4 catheter, it conforms to the anatomy. Risk analysis
5 was performed to determine potential effects of this
6 device modification. This analysis was essential in
7 determining testing requirements to verify that device
8 modifications would not adversely effect device
9 performance. The TAG device has been extensively
10 tested in our Comprehensive Testing Program. This
11 testing included newly developed durability tests that
12 replicate the deployment wire fractures.

13 The requirements deemed appropriate were
14 developed through a combination of established ISO
15 standards and collaborative efforts between industry
16 and the FDA. These tests assure the TAG device
17 functions as intended, which is to exclude aneurysms
18 from circulation and prevent aneurysmal rupture. This
19 is an example of one of our many durability tests.
20 This test was specifically developed to replicate the
21 deployment wire fracture. Notice the extreme
22 curvature of the spine in the original device in the

1 lower left. To confirm these results related to the
2 device functional performance, poor conducted or
3 limited clinical trial, TAG 03-03, we'll even call the
4 confirmatory study. We will now collect the samples.

5 In the next part of our presentation, Dr.
6 Makaroun, who is a Professor and Chief of Vascular
7 Surgery at the University of Pittsburgh, will present
8 results from our Clinical Trial Program.

9 DR. MAKAROUN: Thank you, Mr. Nilson.
10 Good morning. I would like to start by declaring that
11 I do serve as consultant for W.L. Gore and I have
12 received both educational and research grants from
13 W.L. Gore as well as just about any other manufacturer
14 in this field.

15 It really gives me great pleasure to be
16 here today on behalf of all the investigators that
17 participated in these clinical trials to share with
18 you the results of the three phases of the TAG
19 development that so far have spanned over seven years,
20 over the first device being implanted in February of
21 1998. All the investigators, as well as myself, have
22 looked forward to this day with much anticipation and

1 the hope that we can finally bring this technology to
2 our patients.

3 The first study was the feasibility study
4 that started in 1998 and concluded enrollment in 1999.
5 This study was carried out at two sites in the United
6 States and in all enrolled 28 patients with descending
7 thoracic aneurysms. The mortality at 30 days was only
8 one patient or 3.6 percent. For one year, the
9 mortality was 21 percent with no incidents of
10 paraplegia or stroke. Renal failure and myocardial
11 infarction was noted in only one patient each or 3.6
12 percent.

13 Through a five-year follow-up period two
14 additional AEs long-term were reported between two and
15 five years. All-cause mortality at five years was 25
16 percent. Endoleaks were noted at any time in 21
17 percent of the patients and was a growth in 18
18 percent, fractures in 32 percent. There was one
19 conversion and two reinterventions over time to
20 replace additional devices.

21 None of the following events occurred
22 during the follow-up. There were no aneurysm

1 ruptures, migration, extrusion, erosion, lumen
2 obstruction or branch vessel occlusion over time.
3 These encouraging results left the development of the
4 pivotal Phase II Trial, the 99-01 Trial, that started
5 enrolling patients in 1999 and completed enrollment in
6 May of 2001. The pivotal study was a multicenter
7 study that was carried out at 17 clinical sites in the
8 United States and was designed to be non-randomized
9 with a control arm.

10 The test subjects were all treated with a
11 TAG device and were compared to post-subjects that
12 were treated by the traditional open surgical repair.
13 One-year clinical endpoints were used for the
14 analysis, but all patients were to be followed for
15 five years and the follow-up is still ongoing. The
16 control group were all enrolled from the same sites as
17 the patients undergoing the TAG device. They were in
18 two groups, 44 patients were enrolled concurrently
19 with the device during the study, in addition to 50
20 patients that had recently undergone open repair at
21 the participating centers.

22 This strategy actually was quite

1 successful in generating probably one of the largest
2 series of isolated descending thoracic aneurysms
3 available for comparison. To limit bias, the
4 historical cohort was enrolled by working facts
5 sequentially from the last patient that was treated
6 prior to the initiation of the study. And a goal was
7 set to have no more than five subject enrollment
8 difference between the TAG and the control site.

9 As such, 82 percent of the surgical
10 controls had their procedures between January of 1998
11 and May of 2001. The historical and concurrent groups
12 were as such very similar in all major demographic and
13 clinical variables. The primary safety hypothesis
14 that was tested in this pivotal study was that the
15 percentage of subjects with more than one major
16 adverse event through one-year post-treatment will be
17 lower than the TAG device group when compared to the
18 surgical control group.

19 The primary efficacy hypothesis was that
20 the percentage of subjects, three from major device-
21 related events through one-year follow-up for the TAG
22 device group will exceed 80 percent. The secondary

1 hypotheses were also tested and they were the
2 procedural blood loss, ICU and hospital stay as well
3 as the time period to return to normal activities will
4 be lower in the TAG device group compared to the
5 surgical control group.

6 The term major as used in this trial was
7 derived from the Sacks criteria published in 1997 and
8 these were the only criteria available for
9 classification at the time. A major adverse event was
10 one that required therapy and post-hospitalization
11 between 24 and 48 hours or required major therapy and
12 unplanned increase in level of care or prolonged
13 hospitalization resulted in permanent adverse sequelae
14 or death.

15 A minor adverse event is one that requires
16 no therapy and is of no consequence or requires
17 nominal therapy and is of no consequence, including an
18 overnight admission for observation. For the two
19 separate adverse events to be tracked in the study,
20 were PDP classified and are shown here in these two
21 slides. To illustrate, a paraplegia resulting in
22 permanent deficit or a groin psuedoaneurysm requiring

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1 repair would be classified as major adverse events in
2 this trial, while a transient mental status change not
3 prolonging hospitalization or a small groin hematoma
4 and not requiring treatment would be classified as a
5 minor adverse event.

6 The sample size estimate for the pivotal
7 trial was based on the primary safety endpoint, which
8 was the one year incidence of major adverse events.
9 Allowing for a Type I error rate of 0.05 (two-sided)
10 and a power of 0.8, the controlled incidence of major
11 adverse events was estimated at 40 percent and the
12 test incidents of major adverse events was estimated
13 at 20 percent. This resulted in a sample size of 82
14 in both groups for comparison.

15 Allowing for two training cases per side
16 and subject attrition due to loss to follow-up intent-
17 to-treat failures and deaths, 140 test subjects were
18 enrolled and treated with the TAG device and compared
19 to the 94 controlled patients that were treated by
20 open surgery, 44 were enrolled concurrently with a TAG
21 and the 50 historical patients enrolled by the
22 consecutive review of the most recent surgical

1 patients in reverse chronological orders at the same
2 institutions.

3 Key inclusion criteria for all patients in
4 this trial included a descending thoracic aneurysm
5 that necessitated surgical repair, defined as a
6 fusiform aneurysm twice the size of the healthy aorta
7 or any size saccular aneurysm. All patients have to
8 have a life expectancy of more than two years, be
9 surgical candidates and be aged more than 21 years.
10 Exclusion criteria for all patients from this study
11 were mycotic aneurysm and uncontained aneurysmal
12 rupture, all patients with aortic dissections, both
13 acute and chronic, were excluded.

14 We did not allow planned concomitant
15 surgery or major surgery within 30 days of treatment,
16 MI or stroke within six weeks of treatment, renal
17 insufficiency and degenerative connective tissue
18 disorders. Specific inclusion criteria for the TAG
19 patients, obviously, required in aortic morphology
20 that meets the IFU guidelines, namely aortic diameters
21 between 23 and 37 millimeter and at least 2 centimeter
22 healthy proximal and distal necks.

1 Specific exclusion criteria for the TAG
2 patients include the patients that have a different
3 size aorta above and below the aneurysm and the
4 inability to compensate for that taper with multiple
5 devices. Patients with significant thrombus of the
6 proximal or distal landing zones, a planned occlusion
7 of the left carotid or the celiac artery and
8 respiratory insufficiency precluding thoracotomy.

9 Pre-operatively the patients underwent the
10 standard physical examinations, blood tests and
11 medical assessment. Additional imaging including an
12 angiogram and CT scan. Angiography with a marker
13 catheter was used to assess the length of the neck and
14 the length of the aorta to be covered, the location of
15 the aneurysm and the tortuosity associated with it, as
16 well as the axis vessels required to reach that area.

17 The CT scan was used to get the size and
18 the quality of the proximal neck, the size of the
19 aneurysm, as well as to assess the distal size of the
20 neck and the quality of the aorta at that level. The
21 device was usually inserted through a small groin
22 incision with a contralateral puncture for the

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1 angiographic catheter used in the deployment sequence
2 to assess the exact location of the graft.

3 The procedure usually started with
4 angiography followed by positioning of the graft to
5 the desired location and concluded with a post-
6 deployment angiography to ensure complete exclusion of
7 the aneurysm. All surgical patients underwent the
8 standard left thoracotomy and standard
9 aneurysmorrhaphy.

10 Post-procedure, the patient had a full
11 view chest X-ray with views specially designed to show
12 the endograft at discharge. A follow-up schedule was
13 at one month with a CT scan, then at 6 months, 12
14 months and yearly thereafter with both a chest X-ray
15 and CT scan. This is an example of some of the views
16 that are required to evaluate the graft, and the CT
17 scan was used for the evaluation of endoleaks and the
18 size of the aneurysmal sac over time.

19 The baseline demographics were very well-
20 matched between both groups. The TAG device group was
21 three years older than the surgical controls, but this
22 was not significant. Of note is that the TAG device

1 group and the surgical controls were very well-matched
2 when it comes to gender, which is different than the
3 previous trials that enrolled in the abdominal area.
4 This is of particular importance as the aneurysms of
5 the descending thoracic aorta do not show the same
6 predilection to males as the abdominal aorta does.

7 Baseline aortic morphology was again well-
8 matched between both groups, except for the smaller
9 diameter of the proximal and distal necks in the TAG
10 device, which is expected because of the requirements
11 for sealing.

12 Of most importance is the aneurysm
13 diameter, which is one of the most important
14 predictors of rupture, as well as an independent
15 predictor of major adverse events. The aneurysm
16 diameter was very well-matched between both groups.

17 The baseline comorbidities were also quite
18 similar between the TAG device and the surgical
19 control group. Although coronary artery disease
20 appeared to be more prevalent among the TAG device
21 group, this difference was not significant.
22 Symptomatic aneurysms, however, were significantly

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1 more prevalent in the surgical control groups compared
2 to the TAG device.

3 The risk classifications of the patients
4 in this trial was carried out based on the standard
5 ASA classification and the SVS risk score, and there
6 was no significant difference in either
7 classifications. Both groups had the same risk
8 classification.

9 There was, however, an imbalance at
10 baseline for the New York Heart Association
11 classification. This particular classification was
12 used mostly to exclude patients with a New York Heart
13 Class IV, which was an exclusion criterion in the
14 study. The large number of patients who did not have
15 classification noted makes the comparison in this
16 category very difficult.

17 In all, 140 patients underwent the TAG
18 device group in the pivotal trial. 137 of them or 98
19 percent had a successful implantation of the device.
20 All three failures were due to poor iliac access. 77
21 patients or 55 percent required more than one device
22 to bridge the aneurysm. 21 patients or 15 percent

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1 required an iliac conduit to the aortic iliac segment
2 to access the aorta. This is an example of the most
3 commonly performed conduit, which is a 10 millimeter
4 Dacron graft to the common iliac artery through a
5 small flank incision.

6 Operative results that are quite important
7 to surgeons practicing in this field are presented
8 here in the standard reporting format for the surgical
9 area, which is 30 days or in-hospital event rate even
10 after 30 days if the patient stayed in the hospital.
11 If you use this formula, operative mortality is 2
12 percent for the TAG device and 6 percent for the
13 surgical control. Paraplegia was 3 percent in the TAG
14 device and 14 percent in the control and stroke were
15 both 4 percent in both groups.

16 The primary safety endpoint in this trial
17 was the percentage of subjects free from major adverse
18 events through one year of follow-up, and the results
19 show a marked reduction in the major adverse events in
20 the TAG device group compared to the surgical control
21 group that was highly significant. 42 percent of the
22 TAG device patients had any major adverse event

1 through one year while 77 percent of the surgical
2 control group had major adverse events over the first
3 year.

4 This therapeutic benefit was evident in
5 the following categories. Both bleeding and pulmonary
6 showed a significant reduction of the major adverse
7 events compared to the surgical control group, and
8 this was due to a high percentage of procedural
9 bleeding in the surgical control group and the
10 respiratory failure in the post-operative period.

11 Renal and wound complications also showed
12 a significantly lower proportion in the TAG device
13 group compared to the surgical control. Of particular
14 note, the neurologic complications in the TAG device
15 group were lower than the surgical control group.
16 Although the patients who had cardiac events was lower
17 in the TAG device group, this was not significant.

18 The only category that showed a higher
19 major adverse event rate in the TAG device group was
20 that of vascular events, and this was related to the
21 large sheath that was required for the introduction of
22 the device through the iliac system. 11 percent of

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1 those were due to vascular trauma.

2 The Kaplan-Meier estimates of the freedom
3 from major adverse event over one year shows a
4 substantial advantage to the TAG device group over the
5 surgical controls that is highly significant through
6 the first year. Actually, a 61 percent reduction of
7 these major adverse events is evident by the first 14
8 days due to the high event rates in the surgical arm
9 periprocedurally.

10 Of note is that 70 percent of all major
11 adverse events noted in the first year in the TAG
12 device group occurred in the first 30 days. This was
13 also noticed previously in the 97-01 trial where 63
14 percent of all events over five years actually were
15 noticed in the first 30 days.

16 Carried all the way through two years, you
17 can see that the benefit to the TAG device group
18 remains significant. All-cause mortality through two
19 years was no different between the TAG device group
20 and the surgical control group. The TAG device group
21 over two years had 24 percent of the patients succumb
22 and in the surgical control group, it was 26 percent.

1 The causes of death are typical for this elderly
2 population with the associated comorbidities.

3 Although there is an early numerical
4 advantage to the TAG device group that is associated
5 with the early mortality from the surgical procedure,
6 the freedom from all-cause death through two years is
7 no different for the two arms of the study.

8 Included in this all-cause mortality is
9 the more relevant aneurysm-related mortality, which is
10 defined as the death prior to hospital discharge or
11 death within 30 days of the primary procedure or any
12 secondary procedure to treat the original aneurysm,
13 which also includes death from ruptures.

14 Freedom from aneurysm-related mortality
15 through two years was 97 percent for the TAG device
16 group and 90 percent for the open surgical controls.
17 This difference is significant. And as you can note
18 from the graph, there were no mortalities in either
19 arm after the first year that was related to the
20 aneurysm.

21 In summary, the primary safety endpoint of
22 this pivotal study was met with a significantly lower

1 proportion of TAG subjects experiencing major adverse
2 events through one year of follow-up. It was 42
3 percent in the TAG group and 77 percent in the open
4 surgical group.

5 The primary efficacy endpoint of this
6 pivotal study was the percentage of subjects that were
7 free from major device-related events through one-year
8 follow-up for the TAG device group. The efficacy for
9 the surgical procedure was assumed to be 100 percent.
10 A predefined point estimate of 80 percent for the
11 endovascular group was considered to be a reasonable
12 efficacy outcome and since the device was expected to
13 show a considerable improvement in safety profile.

14 The major category in the device-related
15 events through one year was again derived from the
16 Sacks criteria and included for the device-related
17 events, endoleaks, migration and realignment, aneurysm
18 enlargement, branch vessel occlusion, deployment
19 failure, extrusion erosion, lumen obstruction and
20 material failure.

21 To illustrate the definition, endoleaks
22 requiring intervention, such as an additional device,

1 will be classified as a major device-related event.
2 However, endoleaks not requiring any intervention and
3 being observed by serial imaging will be classified as
4 minor device-related events.

5 Since endoleaks are the most frequent
6 device-related events in most of these trials, they
7 were further classified according to the same
8 classification for the abdominal aneurysms. Type I
9 was due to either proximal or distal attachment sites.
10 Type II was due to retrograde flow from branches, Type
11 III from a structural defect or junctional endoleak
12 and Type IV from material porosity.

13 Freedom from major device-related events
14 in this trial over one year was 94 percent for the TAG
15 device. This freedom from major device-related events
16 was significant when compared to the predefined limit
17 of 80 percent. There were eight major device-related
18 events during the first year.

19 Since 10 patients did not have their 12
20 month follow-up visit, a worst case analysis was
21 performed assigning a major device-related event to
22 all 10. If that is carried out, the freedom from

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1 major device-related events drops to 87 percent, but
2 even at that level, the lower 95 percent confidence
3 interval is 80.4 percent.

4 The freedom from major device-related
5 events carried through two years show a stable line
6 after the initial six months without additional major
7 device-related events, especially during the second
8 year. It continues to be 94 percent both at one and
9 at two years and both are significant compared to the
10 80 percent predefined limit.

11 So in summary, the primary efficacy
12 endpoint of this pivotal trial was also met with 94
13 percent of the test subjects free from a major device-
14 related event through one year. This was
15 statistically greater than the predefined limit of 80
16 percent. In addition, there were no aneurysm ruptures
17 noted through these two years.

18 Secondary outcomes are reported here by
19 the median value. Procedural blood loss was 250 ml in
20 the TAG device group and 1,850 in the surgical
21 controls. No p-value was reported because of the
22 large number of missing data from the surgical

1 control. ICU stay was one day for the TAG device and
2 three for the surgical controls, and the hospital stay
3 was three days for the TAG device group and 10 for the
4 surgical control. Time to return to normal activities
5 was reduced to 30 days in the TAG device compared to
6 78 days for the surgical control.

7 To summarize the pivotal trial, the TAG
8 device was safe and effective for the treatment of
9 aneurysms of the descending thoracic aorta. The
10 primary safety endpoint was met with 42 percent of the
11 TAG device group having major adverse events and 77
12 percent for the surgical controls. The primary
13 efficacy endpoint was also met with 94 percent freedom
14 from major device-related events. Both were highly
15 significant. All secondary endpoints were met.

16 Despite these excellent results, the
17 sponsor chose not to seek approval of the device to
18 the dismay and chagrin of most of the investigators.
19 They decided to proceed with a modification of the
20 device to eliminate the failure mode that was
21 identified in the longitudinal spine fractures.

22 Mr. Nilson has already discussed with you

1 the preclinical testing that showed the device to be
2 at least the same or better in most of the bench
3 testing that were performed. A confirmatory study was
4 initiated after the modification to ensure that the
5 early deployment and early results are satisfactory as
6 the spine had some function in the deployment of the
7 device.

8 The confirmatory study was started in 2003
9 and finished enrollment in June of 2004 and, again, it
10 was conducted to confirm the functional performance of
11 the modified TAG device during deployment and through
12 the first 30 days. A 30 day endpoint was chosen based
13 on the TAG 99-01 Study, which showed that 70 percent
14 of the major adverse events occurred within the first
15 30 days in the periprocedural period. That difference
16 was also maintained from 30 days all the way through
17 two years.

18 The study was carried out at 11 sites.
19 All but one participated in the TAG 99-01 pivotal
20 trial. It was designed as, obviously, a non-
21 randomized prospective trial, all test subjects
22 treated with the modified TAG device, and they were

1 compared to the control data from the pivotal study,
2 the TAG 99-01. 30 day study endpoints were used,
3 although all patients are planned to have a follow-up
4 of five years.

5 Identical inclusion and exclusion criteria
6 were used in this study compared to the pivotal study
7 to allow the comparison to the control data from the
8 pivotal trial. The primary safety endpoint for this
9 study was the percentage of subjects with more than
10 one major adverse event through 30 days post-treatment
11 in the TAG device group compared to the surgical
12 control group from the TAG 99-01 Study.

13 The efficacy endpoint was the percentage
14 of subjects with major device-related events in the
15 TAG device group through 30 days of follow-up. These
16 same secondary endpoints were used for this as the 99-
17 01 and included the procedural blood loss, ICU and
18 hospital stay and the time to return to normal
19 activities.

20 The sample size estimate for the
21 confirmatory study was again based on the primary
22 safety endpoint, which was the 30 day incidence of

1 major adverse events allowing for a 5 point error rate
2 of 0.05 and the power of 0.86. The controlled
3 incidence of major adverse events was assumed to be 63
4 percent and the expected incidence of the TAG device
5 in major adverse events was 38 percent. This led to
6 an estimate of a sample size of 40 requiring the new
7 modified TAG device to be compared to the 94 patients
8 that were in the control arm of the 99-01.

9 Again, allowing for some subject
10 attrition, 51 patients were enrolled in this study and
11 treated with the modified TAG device and they were
12 compared with the same 94 control subjects that were
13 derived from the TAG 99-01 Study.

14 The preoperative assessment was very
15 similar to the 99-01 Study, including physical
16 examination, blood test and imaging. The follow-up at
17 discharge, again, included the chest X-ray and at the
18 30 day follow-up visit, both a chest X-ray and a CT
19 scan were included. All the subjects will continue,
20 obviously, to be followed up through the next five
21 years.

22 Baseline demographics were, again, quite

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1 similar between the TAG device group and the surgical
2 control group. There were some more male patients in
3 the TAG device group in the 03-03, but this difference
4 was not significant.

5 Baseline aortic morphology was, again,
6 very similar to the 99-01 Study with well-matched
7 groups between the TAG device and the surgical
8 controls with the only difference being the smaller
9 size of the proximal neck diameter and the distal neck
10 diameter in the TAG device group because of the
11 anatomic requirement of the procedure.

12 The aneurysm diameter was quite similar
13 between the TAG device and the surgical control group.
14 Baseline comorbidities were also well-matched between
15 the TAG device group and the surgical control arm. In
16 this comparison, the symptomatic aneurysm difference
17 did not reach statistical significance. However,
18 there were more prevalence of cancer or a history of
19 cancer in the TAG device group compared to the
20 surgical control.

21 Risk classification according to the ASA
22 was very well-matched between the TAG and the surgical

1 control. The SVS risk score was slightly higher in
2 the TAG device group and this was significant. Again,
3 for the New York Heart Association, there was a large
4 number of patients that were not classified and in
5 this particular case did not reach significance.

6 The safety endpoints through 30 days again
7 were quite striking showing a significant advantage to
8 the TAG device group compared to the surgical control,
9 major adverse events were noted in 12 percent of the
10 patients treated with the TAG device and 70 percent of
11 the surgical controls. With several categories
12 showing this therapeutic benefit of the TAG device
13 over the surgical controls, including bleeding,
14 pulmonary, cardiac, renal, and again neurologic
15 complications.

16 The difference in major adverse events
17 between the two groups was significant. Vascular
18 complications were again noted in more patients with
19 the TAG device group compared to the surgical control
20 group and this difference in this particular case was
21 not significant. The freedom from the major device --
22 from major adverse event through 30 days showed a

1 significant advantage to the TAG device group compared
2 to the surgical controlled with the P being less than
3 0.001.

4 Most of the advantage is noted very
5 procedurally in the very early post-operative period.
6 This slide illustrates all three groups and both
7 trials showing that both the TAG device group from
8 both studies delivered some therapeutic benefit
9 compared to the surgical control.

10 In summary, the primary safety endpoint of
11 this trial was met with significantly lower proportion
12 of TAG device subjects experiencing major adverse
13 events through 30 days compared to the TAG 99-01
14 surgical control. There were no TAG device deaths
15 through 30 days. The efficacy endpoint of this
16 confirmatory study was the freedom from major device-
17 related events through 30 days post-treatment. And
18 since no patient experienced a major device-related
19 event in the confirmatory study, the efficacy was 100
20 percent. The lower 95 percent confidence interval was
21 93 percent.

22 In other worst case scenarios, analysis

1 was performed assigning major device-related events to
2 the two patients that did not complete a 30 day
3 follow-up and this reduced the efficacy to 96 percent
4 with a lower 95 percent confidence interval of 86
5 percent. The secondary outcomes measured in this
6 confirmatory study are reported as a medium value.
7 The procedural blood loss was 200 ml in the TAG device
8 group and 1850 in the surgical control.

9 The ICU stay was again one day in the TAG
10 device and three days in the surgical control. The
11 hospital stay was three days for the TAG device and 10
12 in the surgical control and again the time to return
13 to normal activities was shortened in the TAG device
14 group to 15 days versus 78 for the surgical control.

15 In summary, the confirmatory study
16 confirms the result of the peak in testing, that the
17 modified design is equivalent or improved over the
18 original design with the primary safety endpoint met,
19 12 percent TAG versus 70 percent major adverse events
20 in the surgical control with the difference being
21 highly significant and the primary efficacy endpoint
22 being 100 percent freedom from major device-related

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1 events.

2 In conclusion, the studies of the TAG
3 device show that for treatment of aneurysms of the
4 descending thoracic aorta the TAG device is safer than
5 open surgical repair. It provides effective treatment
6 for aneurysms of the descending thoracic aorta and
7 results in less blood loss, shorter hospital and ICU
8 stay and a quicker return to normal activities
9 compared to the open surgical repair.

10 Now, I yield the podium to Dr. Mitchell,
11 who will discuss with you the risks and benefits of
12 the TAG device.

13 DR. MITCHELL: Thank you, Dr. Makaroun.
14 As we have seen, the open repair of descending
15 aneurysms incur significant morbidity and mortality,
16 specifically 6 percent mortality in our series and a
17 77 percent cumulative morbidity. Compared to the open
18 procedure, the TAG device was able to dramatically
19 lower 30 day mortality from 6 to 1 percent and total
20 morbidity from 77 to 42 percent with a reduction in
21 paraplegia from 14 percent to 3 percent and major
22 pulmonary complications from 38 to 13 percent.

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1 These translate into significantly better
2 patient outcomes and recovery. However, when we
3 consider endovascular repairs, we do introduce some
4 new risks which are specific to endovascular repair
5 and they are listed here. There are possibilities for
6 deployment failure, branch vessel occlusion can occur
7 from inadvertent coverage. There is the new
8 possibility of injury to access vessels. We now have
9 a new problem called endoleaks and aneurysms can
10 enlarge afterwards, devices can migrate and there are
11 instances of material failure.

12 However, as we've seen in this experience,
13 the incidence of these events has all been relatively
14 low. Less than 4 percent for the greatest problem and
15 ranging around 1 percent for most of these
16 complications. And additionally, most of these
17 complications can be taken care of with subsequent
18 endovascular procedures. The modifications to the TAG
19 device eliminate the risk associated with fractures of
20 the deployment wire and its mechanical and deployment
21 properties have been extensively confirmed by
22 mechanical testing, as well as by the TAG 03

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